

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q77222

Hiroshi KATO, et al.

Appln. No.: Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: August 28, 2003

Examiner: Unknown

For: TOROIDAL-TYPE CONTINUOUSLY VARIABLE TRANSMISSION

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

| <u>Serial No.</u> | <u>Applicant's Name</u> | <u>Filing Date</u> |
|-------------------|-------------------------|--------------------|
| 10/050,947        | Tomonobu YOSHIKAWA      | 01/22/2002         |
| 10/262,833        | Hiroshi KATO, et al.    | 10/3/2002          |

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office

INFORMATION DISCLOSURE STATEMENT

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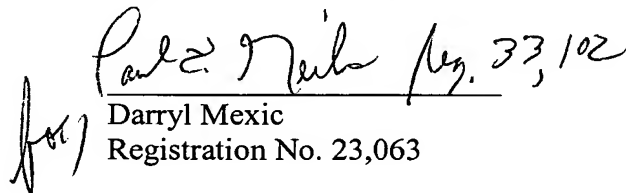
Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: **English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Application Laid-Open Publication Nos. 2000-220711, 2001-254792, and 2001-50360.**

**Also, JP-A-62-71465U is cited and discussed on page 1 of the above referenced application. JP-A-2001-254792 is cited and discussed on page 20 of the above referenced application. JP-A-2001-50360 is cited and discussed on pages 15 and 19 of the above referenced application.**

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

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**Date: August 28, 2003**

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| Substitute for Form 1449 A & B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <i>Complete if Known</i> |                     |
|  |   |    |   | Application Number       | Unknown             |
|  |   |    |   | Confirmation Number      | Unknown             |
|  |   |    |   | Filing Date              | August 28, 2003     |
|  |   |    |   | First Named Inventor     | Hiroshi KATO, et al |
|  |   |    |   | Art Unit                 | Unknown             |
|  |   |    |   | Examiner Name            | Unknown             |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | Q77222              |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                       | US 5,391,126    | A                                    | 02/21/1995                     |   |
|                       |                       | US 6,368,245    | B1                                   | 04/09/2002                     |   |
|                       |                       | US 6,083,137    | A                                    | 07/04/2000                     |   |
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|                       |                       | US              |                                      |                                |   |

| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                      |                                |   |                          |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
|                          |                       | JP                        | 6-16753             | U                                    | 03/04/1994                     |   |                          |
|                          |                       | JP                        | 11-118011           | A                                    | 04/30/1999                     |   |                          |
|                          |                       | JP                        | 62-71465            | U                                    | 05/07/1987                     |   |                          |
|                          |                       | JP                        | 2000-220711         | A                                    | 08/08/2000                     |   | Abstract                 |
|                          |                       | JP                        | 2001-254792         | A                                    | 09/21/2001                     |   | Abstract                 |
|                          |                       | JP                        | 2001-50360          | A                                    | 02/23/2001                     |   | Abstract                 |
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| NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
|---------------------------------|-----------------------|--|--------------------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
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| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.